

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:	) Group Art Unit: 1634				
Dr. Paddy Jim BAGGOT	)				
<b>Serial No.:</b> 09/499,006	)				
Filed: February 4, 2000	) )				
For: TREATMENT OF CHROMOSOMAL ABNORMALITIES IN FETUSES THROUGH A COMPREHENSIVE METABOLIC ANALYSIS OF AMNIOTIC FLUID	) ) ) ) )				

#### AMENDMENT AFTER FINAL

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Office Action of October 7, 2003, Applicant submits this amendment under 37 CFR § 1.116. The following amendments address, in part, certain § 112 issues that were first raised in the final office action and are, therefore, proper at this stage. Because the most recent Office Action contained only prior art-based rejections, and because the only

# CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as Express Mail in an envelope addressed to the MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: January 9, 2004 Express Mail No. EV252422883US

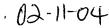
9odie Davis

DOCSOC1:146108.1 13635-4001 K2M

13635.4001 (former 249/127) PATENT

remaining rejections are the § 112 issues that are corrected below, Applicant submits that the present amendment places the application in condition for allowance, or clarifies the § 112 issues on appeal, and is, therefore, proper at this stage.

DOCSOC1:146108.1 13635-4001 K2M









### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

09/499,006

Confirmation No.:

Applicant

Dr. Paddy Jim BAGGOTT

Filing Date

February 4, 2000

Title

Treatment of Chromosomal Abnormalities in Fetuses Through a

Comprehensive Metabolic Analysis of Amniotic Fluid

Group Art Unit:

1634

Examiner

Diana B. Johannsen

Corres. and Mail

Docket No.

13635.4001 (249/127)

Customer No. :

34313

Commissioner for Patents Mail Stop No Fee Amendment P.O. Box 1450 Alexandria, VA 22313-1450

**RESPONSE UNDER 37 CFR** 1.116 EXPEDITED PROCEDURE **EXAMINING GROUP** 

1634

## AMENDMENT TRANSMITTAL AFTER FINAL REJECTION

Transmitted herewith is an amendment after final rejection (37 CFR 1.116) in the above-identified application responsive to the Office Action dated October 7, 2003...

The fee has been calculated as shown below:

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as Express Mail in an envelope addressed to the MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: January 9, 2004 Express Mail No. EV252422883US

DOCSOC1:147341.1 13635-4001

Applicant Appl. No. Examiner Dr. Paddy Jim Baggott

09/499,006

Examiner Docket No.

Diana B. Johannsen 13635.4001 (249/127)

A.	Fee (	Calcula	tion: TO	TAL A	MOUNT O	F PAYMEN	۱T:						
Charge any additional fee required under 37 CFR 1.16 and 1.17 to Deposit Account No. 15-0665.  B. Payment Enclosed Credit Card Money Order Other  Total Claims 11 - 20 = 0 x \$18.00 \$00.00  Independent Claims 3 - 3 = 0.0 x \$86.00 \$00.00  Multiple Dependent Claims \$290 (if applicable) \$0.00  TOTAL OF ABOVE CALCULATIONS \$00.00  Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. \$0.00  Extension of Time (from above) \$0.00  Assignment \$40 (if applicable) \$0.00										es and			
Charge any additional fee required under 37 CFR 1.16 and 1.17 to Deposit Account No. 15-0665.  B. Payment Enclosed Credit Card Money Order Other  Total Claims 11 - 20 = 0 x \$18.00 \$00.00  Independent Claims 3 - 3 = 0.0 x \$86.00 \$00.00  Multiple Dependent Claims \$290 (if applicable) \$0.00  TOTAL OF ABOVE CALCULATIONS \$00.00  Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. \$0.00  Extension of Time (from above) \$0.00  Assignment \$40 (if applicable) \$0.00		credit any overpayments to Deposit Account No. <u>15-0665</u>											
Deposit Account No15-0665 .  B.											17 to		
B. Payment Enclosed Check Credit Card Money Order Other  Total Claims 11 - 20 = 0 x \$18.00 \$00.00  Independent Claims 3 - 3 = 0.0 x \$86.00 \$00.00  Multiple Dependent Claims \$290 (if applicable) \$0.00  TOTAL OF ABOVE CALCULATIONS \$00.00  Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. \$0.00  Extension of Time (from above) \$0.00  Assignment \$40 (if applicable) \$0.00				Depo	sit Accoun	t No. 15-0	)665						
Total Claims       11       - 20       = 0       x \$18.00       \$00.00         Independent Claims       3       - 3       = 0.0       x \$86.00       \$00.00         Multiple Dependent Claims       \$290       (if applicable)       □       \$0.00         TOTAL OF ABOVE CALCULATIONS       \$00.00         Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.       \$0.00         Extension of Time (from above)       \$0.00         Assignment \$40 (if applicable)       □       \$0.00		<u> </u>											
Total Claims       11       - 20       = 0       x \$18.00       \$00.00         Independent Claims       3       - 3       = 0.0       x \$86.00       \$00.00         Multiple Dependent Claims       \$290       (if applicable)       □       \$0.00         TOTAL OF ABOVE CALCULATIONS       \$00.00         Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.       \$0.00         Extension of Time (from above)       \$0.00         Assignment \$40 (if applicable)       □       \$0.00	7 4	943	_		Check [	Cred	dit Ca	rd		Мо	ney	Order $\square$	Other
Independent Claims   3													
Independent Claims   3													
Independent Claims  3 - 3 = 0.0 x \$86.00 \$00.00  Multiple Dependent Claims \$290 (if applicable)   TOTAL OF ABOVE CALCULATIONS  Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.  Extension of Time (from above)  Assignment \$40 (if applicable)   \$0.00		Tota	al Claims			11	-	20	=	0	Х	\$18.00	\$00.00
Multiple Dependent Claims \$290 (if applicable)   TOTAL OF ABOVE CALCULATIONS \$00.00  Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. \$0.00  Extension of Time (from above) \$0.00  Assignment \$40 (if applicable)   \$0.00							***			\$29			
Multiple Dependent Claims \$290 (if applicable)   TOTAL OF ABOVE CALCULATIONS \$00.00  Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. \$0.00  Extension of Time (from above) \$0.00  Assignment \$40 (if applicable)   \$0.00		Independent Claims				3	-	3	=	0.0	X	\$86.00	\$00.00
TOTAL OF ABOVE CALCULATIONS  Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.  Extension of Time (from above)  Assignment \$40 (if applicable)  \$0.00		0											
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.  Extension of Time (from above)  Assignment \$40 (if applicable)  \$0.00		Multiple Dependent Claims \$290 (if applicable)										\$0.00	
Extension of Time (from above) \$0.00 Assignment \$40 (if applicable) \$0.00		TOTAL OF ABOVE CALCULATIONS									\$00.00		
Assignment \$40 (if applicable) \$0.00	Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.									\$ 0.00			
											\$0.00		
TOTAL FEES SUBMITTED HEREWITH \$0.00	Assignment \$40 (if applicable)									\$0.00			
		TOTAL FEES SUBMITTED HEREWITH										\$0.00	

Respectfully submitted,

ORRICK, HERRINGTON & SUTCLIFFE LLP

Dated: February 9, 2004

Y. Kurt T. Mulville

Reg. No. 37,194

Orrick, Herrington & Sutcliffe LLP 4 Park Plaza, Suite 1600 Irvine, CA 92614-2558

Tel. 949-567-6700 x7740

Fax: 949-567-6710

Customer Number: 34313



ORRICK, HERRINGTON & SUTCLIFFE LLP
4 PARK PLAZA
SUITE 1600
IRVINE, CA 92614-2558
tel 949-567-6700
fax 949-567-6710
WWW.ORRICK.COM

# FAXED

DATE 2/9/04

NO. OF PAGES (INCLUDING COVER SHEET)

15

FROM

name

tel

Kurt T. Mulville

(949) 852-7740

то

name

company/firm

tel

fax

graf .

Diana B. Johannsen

U.S. Patent & Trademark

(703) 872-9306

Office

RE

Serial No. 09/499,006 - Baggot

**MESSAGE** 

C-M-A 13635.4001

14 YOU DO NOT RECEIVE ALL PAGES, PLEASE CALL Jodie DAVIS
(949) 567-6700 AS SOON AS POSSIBLE.

ΑT

notice to recipient